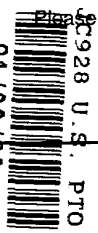


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PTO/SB/29 (12/97)  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	9806-0037-999	Total Pages	34
First Named Inventor or Application Identifier			
Mosher et al.			
Express Mail Label No.	EL 452 482 162 US		

01/22/02  
1046 U.S. PTO  
10/05/01  
51950/01

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. ☒ Fee Transmittal Form  
*Submit an original, and a duplicate for fee processing)*
2. ☒ Specification [Total Pages 34]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description of the Invention (including drawings, if filed)
  - Claim(s)
  - Abstract of the Disclosure
3. Drawing(s) (35 USC 113) [Total Sheets 14]
4. ☒ Oath or Declaration [Total Sheets 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTORS(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

- ### ACCOMPANYING APPLICATION PARTS
8. ☒ Assignment Papers (cover sheet & document(s))
  9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
*(when there is an assignee)*
  10. ☐ English Translation Document (if applicable)
  11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
  12. ☐ Preliminary Amendment
  13. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
  14. ☐ Applicant claims Small Entity status, see 37 C.F.R. § 1.27
  15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
  16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: filed .

### 18. CORRESPONDENCE ADDRESS

Customer Number: 11111111111111111111	24341 (Insert Customer No. or Attach bar code label here)	or <input type="checkbox"/> Correspondence address below
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NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**PENNIE & EDMONDS** LLP  
COUNSELLORS AT LAW  
3300 Hillview Avenue  
Palo Alto, CA. 94304

ATTORNEY DOCKET NO. 9806-0037-999

Date: January 22, 2002

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Mosher et al.

Executed on: January 15 and 17, 2002

Title of Invention: **METHOD AND APPARATUS FOR LOCKSTEP DATA REPLICATION**

**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	22	-20	2	\$18.00 each	\$ 36.00
Independent	5	-3	2	\$84.00 each	\$ 168.00
Minimum Fee					\$ 740.00
Multiple Dependency Fee If Applicable (\$280.00)					\$ 0.00
<b>Total</b>					\$ 944.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					- \$ 0.00
<b>Total Filing Fee</b>					\$ 944.00

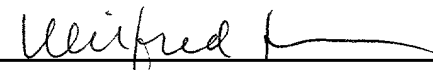
- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

§ 119. ☒ Priority of application no. 60/322,794 filed on September 12, 2001 in United States is claimed under 35 U.S.C.

- ☐ The certified copy of the priority application has been filed in application no. filed
- ☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

  
Wilfred H. Lam 41,923  
For Gary S. Williams, Reg. 31,066 (Reg. No.)  
PENNIE & EDMONDS LLP

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.